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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

		Application Number	10/761,641
		Filing Date	01/21/2004
		First Named Inventor	YANG, et al.
		Art Unit	1621
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	4+	Attorney Docket Number	TH2608

ENCLOSURES (Check all that apply)			
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) 5 refs. attached (9 refs total); 2) Certificate of Mailing 37 CFR 1.10; and 3) Return Receipt Postcard.	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application			
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Remarks			
The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (TH2608), maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C.			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Paula D. Morris, Reg. No. 31,516		
Signature			
Date	6-7-04		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YANG, et al. § Group Art Unit: 1621
Serial No.: 10/761,641 § Examiner: Not Yet Assigned
Filed: January 21, 2004 § Atty. Docket No.: TH2608
Title: PROCESS FOR PRODUCING PHENOL AND KETONE USING NEUTRALIZING BASE

MAIL STOP NON FEE AMENDMENT

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997 (TH2608), maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C..

Respectfully submitted,

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of

Complete if Known

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Application Number	10/761,641
Filing Date	01-21-2004
First Named Inventor	YANG, et al.
Art Unit	1621
Examiner Name	N/A
Attorney Docket Number	TH2608

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

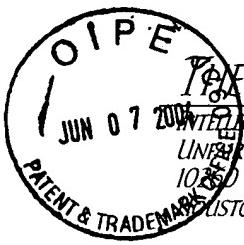
FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
		EP0548986A1	06-30-1993	SUMITOMO	
		EP0578194B1	01-12-1994	SUMITOMO	
		EP1088807A1	04-04-2001	PHENOLCHEMIE	
		EP01088809A1	04-04-2001	PHENOLCHEMIE	
		JP62-114922	05-26-1987	DAINIPPON INK	

Examiner Signature		Date Considered	
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Attached are the following pages:

Transmittal form PTO/SB/21 [1 page],
Information Disclosure Statement transmittal [1 page];
IDS form PTO/SB/08A listing 9 total references [1 page]
5 Foreign Patent Documents and return receipt postcard.

Applicant:	YANG, et al.	Group Art Unit:	1621
Serial No.:	10/761,641	Examiner:	Not Yet Assigned
Filing Date:	01/21/2004	Atty. Docket No.:	TH2608
Title:	Process for Producing Phenol and Ketone Using Neutralizing Base		